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Vital Surveillances

Travel-related Importation and Exportation Risks of SARS-CoV-2 Omicron Variant in 367 Prefectures (Cities) — China, 2022

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ABSTRACT

Introduction: Minimizing the importation and exportation risks of coronavirus disease 2019 (COVID-19) is a primary concern for sustaining the “Dynamic COVID-zero” strategy in China. Risk estimation is essential for cities to conduct before relaxing border control measures.

Methods: Informed by the daily number of passengers traveling between 367 prefectures (cities) in China, this study used a stochastic metapopulation model parameterized with COVID-19 epidemic characteristics to estimate the importation and exportation risks.

Results: Under the transmission scenario ($R_0=5.49$), this study estimated the cumulative case incidence of Changchun City, Jilin Province as 3,233 (95% confidence interval: 1,480, 4,986) before a lockdown on March 14, 2022, which is close to the 3,168 cases reported in real life by March 16, 2022. In a total of 367 prefectures (cities), 127 (35%) had high exportation risks according to the simulation and could transmit the disease to 50% of all other regions within a period from 17 to 94 days. The average time until a new infection arrives in a location in 1 of the 367 prefectures (cities) ranged from 26 to 101 days.

Conclusions: Estimating COVID-19 importation and exportation risks is necessary for preparedness, prevention, and control measures of COVID-19 — especially when new variants emerge.

The ongoing global pandemic of coronavirus disease 2019 (COVID-19) caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has caused incredible global disruption and challenges with a substantial impact on public health and healthcare systems (1). As of March 2022, the world has reported over 460 million confirmed COVID-19 cases and 6 million deaths in over 200 countries and regions (2).

At the same time, China has had the most severe COVID-19 outbreak since the original wave from Wuhan, driven by the Omicron variant, resulting in lockdowns in Shanghai Municipality, Shenzhen City, and Jilin Province (3–4). For regions with high importation risk, stringent measures (e.g., reduction of international flights, post-arrival quarantine, and strict surveillance) can be applied to earn more time for preparedness and response (5–6). In support of this, this study estimates importation and exportation risks of various regions using nationwide mobility data in China: using Changchun City and Jilin City in Jilin Province as a case study.

METHODS

Mobility Data

This study analyzed the daily number of passengers traveling between 367 prefectures (cities) in China, including 4 municipalities, 1 special administrative region, 332 prefecture-level divisions, 6 autonomous counties, and 24 county-level cities and centrally administered municipalities. The mobility data were from a national mobile phone carrier (China Unicom), with 318 million active users in 2019, during the period from January 7 to 13, 2020 (7–8). When users made phone calls, sent messages, turned on/off their devices, or switched towers, the national mobile phone carrier collected their location information (8–9). The dataset was anonymized so that this study cannot identify or filter users of certain groups. The dataset includes approximately 100 million daily between-city trips, without overseas mobility. This research assumed that the mobility between cities for each week in this study’s following simulations is the same as the study week.

Epidemic Model

Following the Covasim model structure, this study used a stochastic metapopulation model of COVID-19

transmission (Supplementary Figure S1, available in <http://weekly.chinacdc.cn/>) (10). The population is characterized as either susceptible (S), exposed (E , infected but not yet infectious), infectious (I), recovered (R), and deceased (D), with infectious population additionally categorized according to symptoms: pre-symptomatic (P), asymptomatic (A), mild (I_1), severe (I_2), or critical (I_3). This study set initial cases (one seed per million population) for each prefecture (city) to simulate the daily situation of disease transmission. Then, this study evaluated the mean and 95% confidence interval (CI) of the daily infected cases based on 100 simulations. Specifically, this simulation calculated the number of infected cases without severe or critical symptoms in city i at time t as follows:

$$\Lambda_i(t) = E_i(t) + P_i(t) + A_i(t) + I_{1,i}(t). \quad (1)$$

The prevalence $\xi_i(t)$ of infected cases in prefecture (city) i at time t is given by:

$$\xi_i(t) = \frac{\Lambda_i(t)}{\rho_i} \quad (2)$$

where ρ_i represents the population size of prefecture (city) i . This study then constructed an intercity mobility network to track the movement patterns of individuals between cities. Let $\omega_{o,d}(t)$ denote the number of residents from the origin prefecture (city) o that travel to the destination prefecture (city) d on day t . Given the daily prevalence $\xi_o(t)$ of prefecture (city) o , the rate at which infected residents from prefecture (city) o travel to prefecture (city) d on day t is given by $\vartheta_{o,d}(t) = \xi_o(t) \times \omega_{o,d}(t)$. The potential of importing at least one infected case from prefecture (city) o to prefecture (city) d on day t_u , is given by (3,11):

$$\psi_{o,d}(t_u) = 1 - \exp[-\vartheta_{o,d}(t_u)]. \quad (3)$$

The cumulative probability of importing at least one infection from prefecture (city) o to prefecture (city) d between t_0 and t_u is given by:

$$\kappa_{o,d}(t_u) = 1 - \exp\left[-\int_{t_0}^{t_u} \vartheta_{o,d}(u) du\right]. \quad (4)$$

For each epidemic origin, this study conducted 100 stochastic simulations across 4 months (120 days). In each simulation, the prefecture (city) d has at least 1 infected case on or by day t_u when incorporating the probability $\psi_{o,d}(t_u)$ or $\kappa_{o,d}(t_u)$, respectively. This study tracked the geographic expansion of simulated epidemics by taking each prefecture (city) as an epidemic origin (epicenter). To compare the epidemic growth across outbreak scenarios, this study measured the time until a certain percentage of prefectures (cities) with importations reaches specified thresholds,

such as $T=50\%$, and denoted this quantity as Γ_T to measure the exportation risk. To assess the epidemiological vulnerability of a specific location l , this study tracked the days until l becomes infected under various scenarios as the importation risk, χ . Matlab (version R2021b, The MathWorks, Massachusetts, US) was used for analyzing mobility data and simulating the epidemic transmission model.

RESULTS

For each possible importation location of 367 prefectures (cities), this study simulated epidemics using a stochastic epidemiological model over three transmission scenarios for the Omicron variant (different R_0): running 100 simulations for each scenario (parameters are in Supplementary Table S1, available in <http://weekly.chinacdc.cn/>). Under the middle transmission scenario ($R_0=5.49$), the cumulative infections of Changchun are estimated as 3,233 (95% CI: 1,480, 4,986) before the quarantine was imposed on March 14, 2022, which is close to the real number of cases, 3,168, reported by March 16, 2022 (12–13). This study shows the results of the middle transmission scenario in the main text and the sensitivity analysis in the supplementary.

This study estimated importation and exportation risk (Figure 1), finding that epidemics tend to spread fastest and in the shortest amount of time when imported or exported from Beijing or Shanghai. The rates at which epidemics spread from and to each prefecture (city) are highly correlated to prefectures (cities) with larger population sizes. For $R_0=5.49$, 127 (35%) of 367 cities have high exportation risks and could transmit the disease to 50% of all other locations within a period from 17 to 94 days. The population sizes in the 127 prefectures (cities) with high exportation risks are around 5.69 million (95% CI: 2.08, 7.88). As a comparison, population sizes in the remaining 240 prefectures (cities) are much smaller, within 2.24 million (95% CI: 0.10, 6.09) (Supplementary Table S2, available in <http://weekly.chinacdc.cn/>). The importation risk, as the average time until a new infection arrives in a location in 1 of the 367 prefectures (cities), ranges from 26 to 101 days. The correlation coefficients between the population size and days of the importation and exportation risks are -0.56 and -0.77 , respectively (both with $P<0.001$). These patterns also hold for other transmission scenarios (Supplementary Figure S2, available in <http://weekly.chinacdc.cn/>).

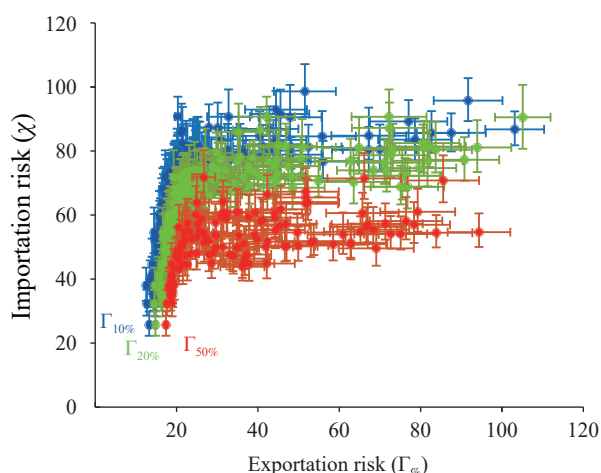


FIGURE 1. Risks of epidemic importation and exportation transmission.

Note: For each prefecture (city), the mean and 95% confidence interval (CI) of the exportation risk (x-axis) are estimated by the number of days following an importation into that prefecture (city) until 10%, 20%, and 50% of prefectures (cities) experience outbreaks ($\Gamma_{10\%}$, $\Gamma_{20\%}$, and $\Gamma_{50\%}$), averaged over 100 stochastic simulations. The mean and 95% CI of the importation risk (y-axis) are estimated by the number of days following importation in another prefecture (city) until the focal prefecture (city) receives its first infection, averaged over 100 stochastic simulations. All simulations assume an initial outbreak of $R_0=5.49$; analogous graphs for other R_0 s are provided in Supplementary Figure S2. The importation and exportation risks are correlated with a Pearson's correlation coefficient of 0.72, and to population size of the prefecture (city) with coefficients of -0.56 and -0.77 , respectively (all have P value <0.001).

The importation and exportation transmission risks in China are highest for outbreaks starting in Beijing and Shanghai, in terms of both geography and the underlying mobility network. In the case study of Changchun, if a city lockdown is implemented two weeks after the seeds are imported, on average 16 (95% CI: 12, 19) cities will then have imported cases from a random city. If a city lockdown is implemented one week earlier or later, on average 1 (95% CI: 1, 2) and 92 (95% CI: 86, 99) cities will have imported cases, respectively.

This study provided a data-driven modeling approach to tailoring importation and exportation risks, using Changchun and Jilin City in Jilin Province as examples (Figure 2). If transmissions start from Changchun, Harbin and Siping will have the highest probability that >1 person infected with the Omicron variant arrives from Changchun: both have a probability above 50% by March 6, 2022. In contrast, if starting from Jilin, Changchun and Harbin will have

the highest probability of importation. If city lockdowns are implemented two weeks after the seeds are imported, Changchun and Jilin will introduce the disease to 18 (95% CI: 15, 21) and 8 (95% CI: 7, 9) cities in China, respectively. If the city lockdown is implemented one week earlier, they can only import to 1 (95% CI: 1, 2) and 0 (95% CI: 0, 1) cities, respectively. If it's one week later, they will import 131 (95% CI: 122, 140) and 56 (95% CI: 51, 62) cities, respectively.

DISCUSSION

Human mobility patterns shape epidemiological risk. The destiny of a newly emerging infectious disease will most likely be determined by where it was first imported. This study's analysis of prefectures (cities) in China suggests that the rate of epidemic expansion depends not only on well-understood epidemiological drivers, e.g., R_0 , but also on the importation locations of the initial cases. Locations that are more vulnerable to aggressive epidemics are also the earliest to be hit by outbreaks that originate elsewhere.

Throughout the COVID-19 pandemic, as case numbers started to soar in the initial stage, countries had to make policy decisions quickly to avoid local outbreaks — even without timely and definite scientific evidence. In response to the resurgence of vaccine-evasive variants, estimation of importation risk is essential for implementing targeted risk-based travel restrictions. This study helped estimate the importation risk of prefectures (cities) from any epicenter using nationwide mobility data in China.

This study was subject to some limitations. The synthetic simulation does not explicitly include the possible delay of reports of new cases that could have happened early in the COVID-19 outbreak. In addition, this study's model does not include moderate cases directly, which are combined into severe cases. However, it does assume that asymptomatic/exposed/pre-symptomatic/mild cases can travel between prefectures (cities). Therefore, such a model design would have little impact on this study's estimates of both risk and incidence.

As Omicron outbreaks emerge within prefectures (cities) in the mainland of China in March 2022, the government will continue to face high pressure from the rapid transmissibility of the Omicron variant and coming variants in the future. The current pandemic presents a broader opportunity for us to interrogate how to control outbreaks. Like other transmissible

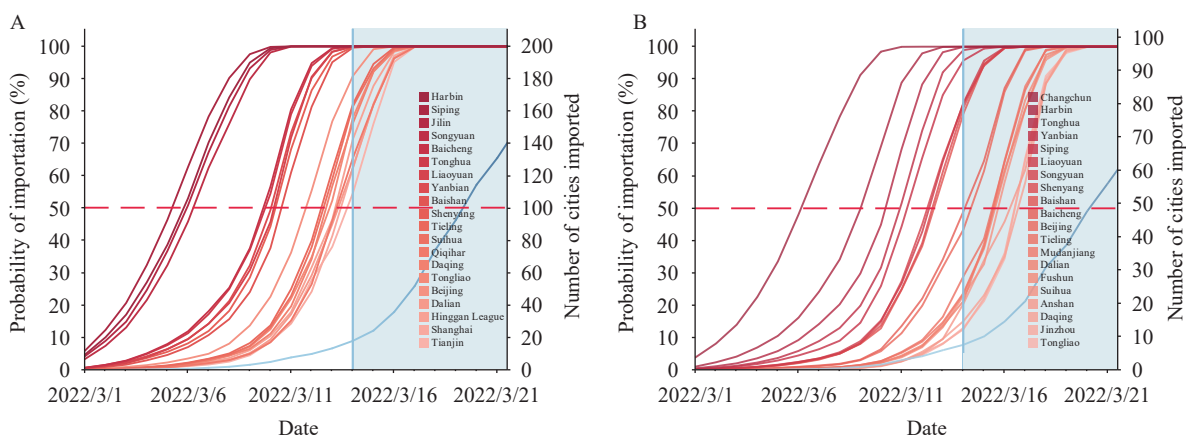


FIGURE 2. Estimated risks for importation and exportation of the SARS-CoV-2 Omicron variant over prefectures (cities) in China. (A) Changchun; (B) Jilin City.

Note: This study estimated the probability that >1 person infected with the Omicron variant arrived at the target prefecture (city) by the date indicated on the x-axis, based on China Unicom mobility data. All simulations assume an initial outbreak of $R_0=5.49$. This study showed the top 20 regions (with the highest probability on March 14, 2022) from cities of Changchun and Jilin City. The grey vertical line indicates March 14, 2022, the date when Jilin Province was locked down (14); line colours correspond to the importation risk on March 14, 2022.

Abbreviation: SARS-CoV-2=severe acute respiratory syndrome coronavirus 2.

pathogens (e.g., influenza), SARS-CoV-2 is likely to circulate in humans for many years to come (15). Estimating COVID-19 importation and exportation risks is necessary for preparedness and prevention and control measures of COVID-19 — especially when new variants emerge.

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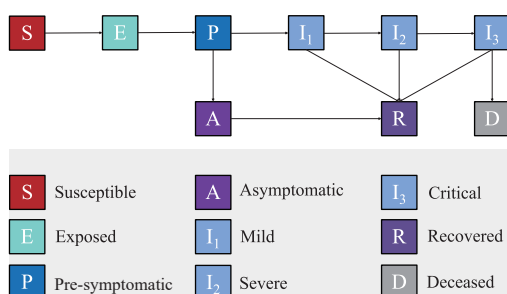
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SUPPLEMENTARY MATERIAL

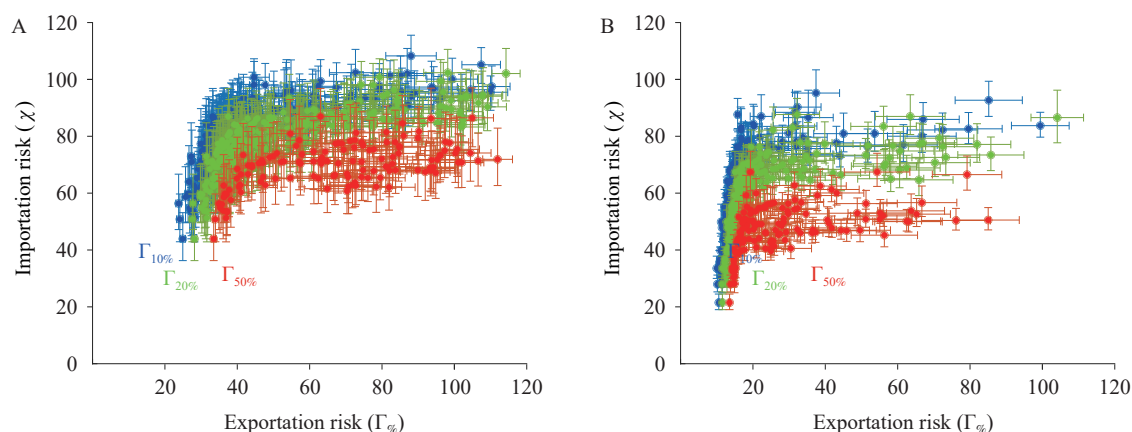


SUPPLEMENTARY FIGURE S1. Schematic of the model structure, following the Covasim model structure (1).

- An individual must pass through a mild stage before reaching a severe or critical stage.
- An individual must pass through a severe stage before reaching a critical stage.
- Only individuals in a critical stage may die.
- All individuals have equal transmission rates and equal susceptibility to infection.
- The transmission rate of severe or critical infections will not be involved in the calculation of mobility prevalence, because we assume that infected patients will be hospitalized when symptoms become severe or critical.

SUPPLEMENTARY TABLE S1. Epidemiological parameters.

Parameters	Values (mean, std)	Data source
R_0 : basic reproduction number	2.43 (5.49, 8)	Given that the effective reproduction number (R_e) of the Omicron variant of SARS-CoV-2 is estimated at 2.43 (95% CI: 1.05, 5.49) in China (2) and around 8 in South Africa (3), we study the basic reproduction number (R_0) in the range from 2.43, 5.49 to 8. (2–3)
β : transmission rate per contact for a symptomatic case (mild, severe, critical)	Calibrated to R_0	Assumed
ω_p : relative infectiousness of pre-symptomatic cases as compared to symptomatic cases	1.57	(4–5)
ω_a : relative infectiousness of asymptomatic cases as compared to symptomatic cases	0.5	(6)
ρ_i : initial cases	One seed per million population	Assumed
T_{inf} : latent period	Lognormal (4.5, 1.5)	(7–8)
T_{pre} : duration of pre-symptomatic infectiousness (length of duration after viral shedding has begun before an individual has symptoms)	2	(9)
T_m : duration of mild symptomatic infectiousness	Lognormal (6.6, 4.9)	(10–11)
T_s : duration of severe symptomatic infectiousness	Lognormal (1.5, 2.0)	(11–12)
T_c : duration of critical symptomatic infectiousness	Lognormal (10.7, 4.8)	(13)
T_a : duration of asymptomatic infectiousness	Lognormal (8.0, 2.0)	(14)
F_a : fraction of asymptomatic infectiousness	27%	(15)
F_s : fraction of (symptomatic) infections that are severe	0.8%	Assumed
F_c : fraction of (symptomatic) infections that are critical	0.1%	Assumed
CFR: Case fatality rate (fraction of infections that eventually result in death)	0.1%	Assumed
α_0 : transition rate out of exposed state	$1 / T_{inf}$	
α_1 : transition rate out of pre-symptomatic state to symptomatic state	$1 - F_a$	
α_m : transition rate out of mild infectiousness state	$(1 / T_m) - \gamma_m$	
α_s : transition rate out of severe infectiousness state	$(1 / T_s) * (F_c / F_s + F_c)$	
α_c : transition rate out of critical infectiousness state	$(1 / T_c) * (CFR / F_c)$	(16)
γ_m : recovery rate of mild symptomatic individuals	$(1 / T_m) * (1 - F_a)$	
γ_s : recovery rate of severe symptomatic individuals	$(1 / T_s) - \alpha_s$	
γ_c : recovery rate of critical symptomatic individuals	$(1 / T_c) - \alpha_c$	
γ_a : recovery rate of asymptomatic individuals	$1 / T_a$	



SUPPLEMENTARY FIGURE S2. Risks of epidemic importation and exportation transmission with different R_0 , (A) $R_0=2.43$ and (B) $R_0=8$.

Note: For each prefecture (city), the mean and 95% CI of the exportation risk (x-axis) are estimated by the number of days following an importation into that prefecture (city) until 10%, 20%, and 50% of prefectures (cities) experience outbreaks ($\Gamma_{10\%}$, $\Gamma_{20\%}$, and $\Gamma_{50\%}$), averaged over 100 stochastic simulations. The mean and 95% CI of the importation risk (y-axis) are estimated by the number of days following importation in another prefecture (city) until the focal prefecture (city) receives its first infection, averaged over 100 stochastic simulations of (A) $R_0=2.43$ and (B) $R_0=8$. The importation and exportation risks are correlated with a Pearson's correlation coefficient of (A) 0.72 and (B) 0.72, and to population size of the prefecture (city) with coefficients of (A) -0.55 and -0.76 ; (B) -0.56 and -0.77 , respectively (all have P -value <0.001).

SUPPLEMENTARY TABLE S2. List of 51 study regions including 28 provincial capitals, 4 municipalities, 1 special administrative region of China, and the prefectures (cities).

Prefecture (city)	Population	$\Gamma_{10\%}$		$\Gamma_{20\%}$		$\Gamma_{50\%}$	
		Exportation risk (mean)	Importation risk (mean)	Exportation risk (mean)	Importation risk (mean)	Exportation risk (mean)	Importation risk (mean)
Chongqing	30,752,000	14.74	36.38	16.58	36.38	19.01	36.38
Shanghai	24,183,000	12.72	32.26	14.53	32.26	17.63	32.26
Beijing	21,707,000	13.28	25.70	14.82	25.70	17.40	25.70
Chengdu	16,044,700	14.34	34.64	16.30	34.64	18.53	34.64
Tianjin	15,569,000	14.96	38.32	16.50	38.32	19.45	38.32
Guangzhou	14,498,400	12.53	37.96	14.64	37.96	18.11	37.96
Harbin	10,929,000	16.38	57.43	17.63	57.43	22.65	57.43
Wuhan	10,892,900	14.19	36.84	15.83	36.84	18.17	36.84
Shijiazhuang	10,879,900	16.66	47.24	17.96	47.24	22.10	47.24
Zhengzhou	9,880,000	14.85	32.61	16.56	32.61	18.91	32.61
Hangzhou	9,468,000	14.00	41.27	15.63	41.27	18.82	41.27
Xi'an	8,989,000	14.38	35.25	16.21	35.25	19.05	35.25
Nanjing	8,335,000	14.13	41.19	15.86	41.19	18.88	41.19
Shenyang	8,294,000	15.38	50.44	17.36	50.44	21.37	50.44
Hefei	7,965,300	14.90	43.38	17.01	43.38	20.28	43.38
Changsha	7,918,100	14.59	38.07	16.17	38.07	18.50	38.07
Changchun	7,674,439	16.64	60.64	18.85	60.64	31.49	60.64
Hong Kong	7,413,100	51.55	98.63	–	98.63	–	98.63
Jinan	7,321,200	15.68	43.13	17.28	43.13	20.57	43.13
Nanning	7,153,300	15.94	50.91	17.54	50.91	21.34	50.91
Dalian	6,988,000	16.38	58.84	18.18	58.84	26.09	58.84
Kunming	6,783,000	14.73	44.61	16.23	44.61	18.77	44.61

TABLE S2. (Continued)

Prefecture (city)	Population	$\Gamma_{10\%}$		$\Gamma_{20\%}$		$\Gamma_{50\%}$	
		Exportation risk (mean)	Importation risk (mean)	Exportation risk (mean)	Importation risk (mean)	Exportation risk (mean)	Importation risk (mean)
Nanchang	5,463,538	15.20	43.39	16.38	43.39	19.25	43.39
Suihua	5,418,153	20.76	69.19	37.94	69.19	–	69.19
Qiqihar	5,367,003	21.61	70.76	54.90	70.76	–	70.76
Guiyang	4,802,000	15.85	45.97	17.22	45.97	20.44	45.97
Jilin	4,413,157	18.98	68.08	26.78	68.08	–	68.08
Taiyuan	4,379,700	16.65	53.93	18.17	53.93	22.03	53.93
Fuzhou	4,031,037	16.69	52.55	17.95	52.55	39.71	52.55
Lanzhou	3,729,600	16.78	55.24	18.44	55.24	22.48	55.24
Anshan	3,598,000	19.18	70.13	26.05	70.13	–	70.13
Urumqi	3,500,000	17.24	71.81	19.27	71.81	26.68	71.81
Siping	3,385,156	18.73	68.53	48.51	68.53	–	68.53
Tongliao	3,128,700	19.58	70.33	63.55	70.33	–	70.33
Hohhot	3,114,800	17.74	67.28	20.40	67.28	51.74	67.28
Jinzhou	3,050,000	18.47	67.81	25.36	67.81	–	67.81
Daqing	2,904,532	21.26	75.69	44.21	75.69	–	75.69
Songyuan	2,880,086	20.17	68.84	75.10	68.84	–	68.84
Mudanjiang	2,798,723	25.45	70.46	–	70.46	–	70.46
Tieling	2,638,000	20.06	69.67	44.44	69.67	–	69.67
Xining	2,355,000	18.72	67.88	22.32	67.88	–	67.88
Tonghua	2,324,439	23.97	68.81	76.77	68.81	–	68.81
Haikou	2,272,100	16.03	56.04	17.71	56.04	20.58	56.04
Yanbian Korean Autonomous Prefecture	2,270,816	20.65	72.24	75.50	72.24	–	72.24
Yinchuan	2,225,391	18.27	71.43	21.71	71.43	66.14	71.43
Fushun	2,065,000	20.97	71.32	31.23	71.32	–	71.32
Baicheng	2,032,356	22.18	74.67	79.59	74.67	–	74.67
Baishan	1,296,127	24.82	72.66	–	72.66	–	72.66
Hinggan League	1,604,200	23.45	76.48	–	76.48	–	76.48
Liaoyuan	1,176,239	25.02	71.88	–	71.88	–	71.88
Lhasa	559,423	21.44	86.53	40.61	86.53	–	86.53

Note: For each prefecture (city), the mean estimates of the exportation risk and the importation risk are calculated until 10%, 20%, and 50% of prefectures (cities) experience outbreaks ($\Gamma_{10\%}$, $\Gamma_{20\%}$, and $\Gamma_{50\%}$), averaged over 100 stochastic simulations. All simulations assume an initial outbreak of $R_0 = 5.49$.

“–” denotes regions without any importations or exportations in the studied period.

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Methods and Applications

Detection of SARS-CoV-2 Antibodies in Oral Fluid Using a Magnetic Particle-Based Chemiluminescence Immunoassay — Beijing Municipality, China, 2021

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ABSTRACT

Introduction: Oral fluids (OFs) have been broadly used as non-invasive samples for evaluating protective IgG antibodies from natural infection or vaccination, especially in pediatric populations.

Methods: Paired OF and serum were collected from both individuals who received a booster dose of the inactivated coronavirus disease 2019 (COVID-19) vaccine as well as those who did not have a history of COVID-19 vaccination and infection (as the control group). The total human IgG antibody (HIgG) content was evaluated as a marker of OF sampling quality. An in-house adapted magnetic particle-based chemiluminescence immunoassay was used for severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) IgG antibody detection in the OF. The SARS-CoV-2 IgG antibody in the serum samples was detected using a commercial immunoassay.

Results: In total, 579 paired OF and serum samples were collected. An additional 172 OF samples were collected from preschool children. The results indicated that the HIgG concentration in qualified OF samples should be higher than 0.3 µg/mL. Compared to the serum assay, the in-house OF immunoassay for detecting IgG antibodies against SARS-CoV-2 had 95.06% accuracy, 95.03% sensitivity, and 100% specificity.

Conclusions: Overall, the in-house immunoassay for detecting SARS-CoV-2 IgG antibodies in OF showed high potential for application towards serological surveillance and immunization effect assessment after large-scale, inactivated COVID-19 vaccination in China.

respiratory syndrome coronavirus 2 (SARS-CoV-2), more than 440 million people have been infected and 6 million have died worldwide as of March 2022: posing a serious public health challenge (1). Vaccination provides robust protection for preventing and controlling the spread of COVID-19 (2). However, although the largest scale COVID-19 vaccination yet has been launched in China, outbreaks of COVID-19 are still occurring across the country (3–5). Sero-epidemiological investigations are key to evaluating whether a population has reached an effective immunization barrier and to finding any immunization gaps (6). A crucial hindrance to such investigations, particularly in young children, is the feasibility of collecting large-scale representative blood samples. Oral fluid (OF) has been successfully used for decades to evaluate the antibody levels of childhood immunization programs for measles and rubella (7). OF is a mixed exudate derived from several anatomical sources, including the saliva and gingival crevicular fluid, which contains the same IgG and IgM antibodies as those in the serum. Detection of SARS-CoV-2-induced antibodies in OF can thus provide a non-invasive method for assessing host responses to infection or vaccination.

In this study, an adapted magnetic particle-based chemiluminescence immunoassay (CLIA) was developed to detect IgG antibodies against SARS-CoV-2 in OF. Recipients of inactivated vaccines against COVID-19 were recruited and paired serum and OF samples were collected for comparison. Further, the sensitivity and specificity of this non-invasive immunoassay (OF assay) for SARS-CoV-2 IgG antibody detection were evaluated.

INTRODUCTION

Since the beginning of the coronavirus disease 2019 (COVID-19) pandemic, caused by severe acute

METHODS

Paired serum and OF samples were collected from individuals who had received a booster dose (third

dose) of inactive COVID-19 vaccine (vaccine group) as well as those who were a part of the population that was unvaccinated or uninfected with COVID-19 (control group). In the vaccine group, participants were voluntarily recruited from the Beijing Center for Disease Control and Prevention and from Beijing Haidian Hospital in November 2021. In the control group, due to the high coverage rate of COVID-19 vaccine in Beijing in 2021, individuals who had collected paired serum and OF samples in 2018 before the COVID-19 pandemic were included from Beijing Haidian Hospital. Additionally, OF samples from healthy preschool children who were not vaccinated because of the COVID-19 immunization age restriction were also collected to assess the quality of pediatric OF sampling. All participants and guardians, on behalf of the pre-school children, provided written informed consent prior to enrollment in the study.

The self-collection device (Oracol, S10, Malvern Medical Developments, UK) was used to collect OF samples (according to the manufacturer's instructions). As a brief overview, the sponge swab was brushed at the junction between the teeth and gums of participants repeatedly for at least 90 seconds until completely soaked, and then placed back into the tube and capped. OF was extracted using 0.6 mL elution buffer (phosphate-buffered saline containing 10% fetal calf serum, 500 µg/mL gentamicin, and 1 mL penicillin-streptomycin solution). The tube was centrifuged at 250 ×g for 1 minute to remove cellular debris; then, the sponge swab was removed and discarded. Next, the supernatant OF was collected for further analysis. Blood samples were collected in blood collection tubes (Becton, Dickinson and Company) and stored at room temperature until coagulated before being transported to the laboratory. The blood samples were then centrifuged at 1,500 ×g for 10 minutes to separate the serum.

For detecting SARS-CoV-2 IgG antibodies in OF, an adapted in-house SARS-CoV-2 IgG magnetic particle-based CLIA for OF was developed (8). Simply, 75 µL of OF samples and 50 µL of recombinant SARS-CoV-2 antigens, labeled with fluorescein isothiocyanate (FITC), were added into a reaction tube to form the antigen-antibody complex. Meanwhile, 35 µL of magnetic particles conjugated with anti-FITC antibodies were added and incubated at 37 °C for 20 minutes to form IgG antibody-antigen-magnetic particle complexes. After washing away the unbound components, 75 µL of alkaline phosphatase-labeled mouse anti-human IgG monoclonal antibody was

added. After incubation, the complex was washed again; finally, 100 µL of substrate solution was added, and the chemiluminescence value of each OF sample was measured.

For detection of SARS-CoV-2 IgG antibody in the serum, a commercial SARS-CoV-2 IgG magnetic particle-based CLIA (Bioscience, Tianjin, China; Lot number: G202108003) was used according to the manufacturer's protocol. The SARS-CoV-2 IgG antibody levels are presented as a ratio (S/CO, chemiluminescence value of sample/cutoff value). Samples with ratios exceeding or equal to 1 were considered positive and those with ratios of less than 1 were considered negative.

To ensure the quality of OF sampling, the total human IgG antibody (HIgG) content in the OF was selected as the biological index for sampling quality. The content of HIgG in each OF sample was measured using an HIgG antibody detection kit (Bioscience, Tianjin, China; Lot number: G202108003). A reference value range (one-sided) of 95% of HIgG was evaluated to establish the quality standard of OF sampling.

The sensitivity and specificity of the OF immunoassay for SARS-CoV-2 IgG detection were calculated and compared to those of a commercial magnetic particle-based CLIA. The best cutoff value for the in-house OF immunoassay was assessed using receiver operating characteristic curve (ROC) analysis. The Pearson chi-square test was used to test the differences among sampling methods. Statistical analysis and ROC analysis were performed using SPSS software (version 19, IBM, NY, USA). A value of *P* less than 0.05 was considered statistically significant.

RESULTS

During the study period, a total of 579 paired serum and OF samples were collected, with 364 and 215 from the vaccine and control group, respectively. The median age of the participants in the vaccine group was 45 years (interquartile range [IQR], 35–53 years). In the control group, the median age was 34 years (IQR, 29–39 years). Further, 172 OF samples were collected from preschool children with a median age of 4 years (IQR, 3–5 years).

To evaluate the quality of OF sampling, the total HIgG concentrations in 751 OF samples (579 adults and 172 children) were determined. Overall, the mean concentration of HIgG in OF samples was 1.85 ± 0.83 µg/mL. The mean concentration of HIgG in OF

samples from children was 2.02 ± 1.02 $\mu\text{g/mL}$, which was significantly higher than that of the adults at 1.85 ± 0.77 $\mu\text{g/mL}$ ($t=2.223$, $P<0.05$). Further, the mean HIgG concentration in the OF samples from the vaccine group was 2.01 ± 0.72 $\mu\text{g/mL}$, which was significantly higher than that of the control group at 1.59 ± 0.77 $\mu\text{g/mL}$ ($t=6.616$, $P<0.001$) (Figure 1). However, there was no significant correlation between the HIgG concentration in the OF and the titer of IgG antibody against SARS-CoV-2 in the serum ($R^2=0.062$). The threshold for HIgG concentration in qualified OF samples could be set to 0.3 $\mu\text{g/mL}$, as more than 95% of OF samples contained higher concentrations of HIgG. Based on this cutoff, 8 and 12 OF samples from the vaccine and control groups, respectively, were excluded from the study.

The SARS-CoV-2 IgG antibody was detected in 397 paired OF and serum samples (194 from the vaccine group and 203 from the control group) using both the adapted in-house immunoassay and commercial magnetic particle-based CLIA. Compared to the titer of SARS-CoV-2 IgG in serum, a ROC curve was plotted for detecting SARS-CoV-2 IgG antibody in OF samples. The area under the ROC curve for SARS-CoV-2 IgG antibody in OF samples was 0.988, and the chemiluminescence cutoff value was set as 105,566.5 (Figure 2).

Based on the cutoff value of SARS-CoV-2 IgG antibodies in the OF, among 162 paired OF and serum samples in the vaccine group, 161 (99.38%)

serum samples and 153 OF samples (94.44%) tested positive for SARS-CoV-2 IgG (Table 1). Compared with the serum test, the in-house OF assay had 95.06% accuracy, 95.03% sensitivity, and 100% specificity. Of the eight false-negative OF samples, the range of S/CO values in the OF samples was 0.187–0.881, whereas that in the matched serum samples was 1.574–10.776. The concentration of SARS-CoV-2 IgG in OF was significantly correlated with that of the serum ($R^2=0.62$, $P<0.001$) (Figure 3).

DISCUSSION

To date, several assays for the non-invasive detection of SARS-CoV-2 antibodies in OF have been established using different methods, such as enzyme-linked immunosorbent assays (ELISA) or lateral flow immune assays (LFIA) (9). However, the sensitivity of these commercial assays ranges from 53%–80%, which may not meet the requirements of the antibody prevalence survey (9–10). In this study, we evaluated a magnetic particle-based CLIA with high sensitivity to detect SARS-CoV-2 IgG antibodies in OF; this assay combined several advantages, including high sensitivity, high-throughput, and non-invasiveness. The in-house OF immunoassay showed that the SARS-CoV-2 specific IgG antibody levels in OF were similar to those observed in the serum, with 95.06% concordance. This assay achieved 95.03% sensitivity,

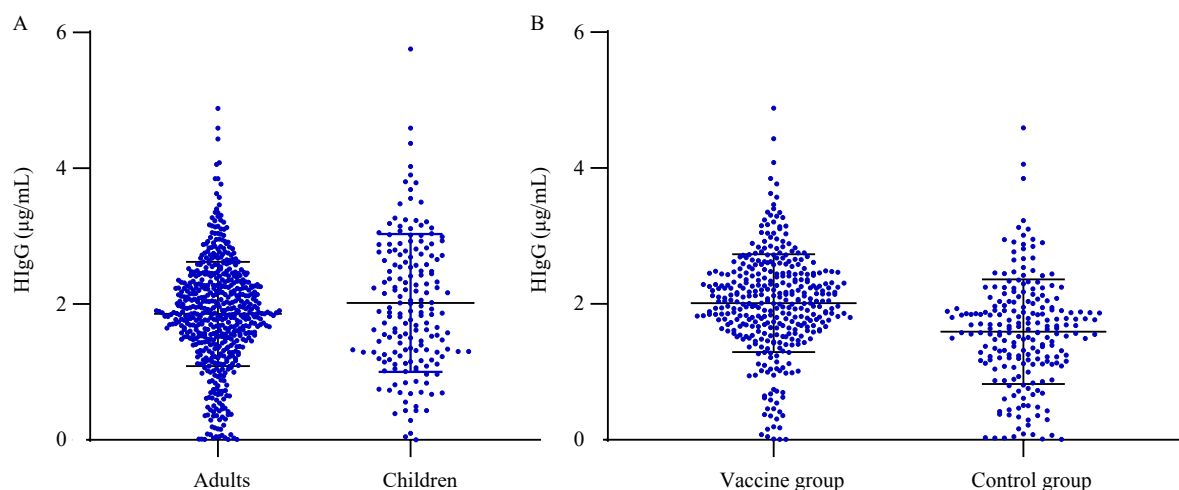


FIGURE 1. The distribution of HIgG titers in OF samples based on age and immune state. (A) The different levels of HIgG antibodies between adults group (over 18 years old) and children group (younger than 14 years); (B) The different levels of HIgG antibodies between vaccine group (received booster dose of COVID-19 vaccine) and control group (without COVID-19 infection or vaccination history).

Abbreviation: HIgG=human immunoglobulin G; OF=oral fluid; SARS-CoV-2=severe acute respiratory syndrome coronavirus 2; COVID-19=coronavirus disease 2019.

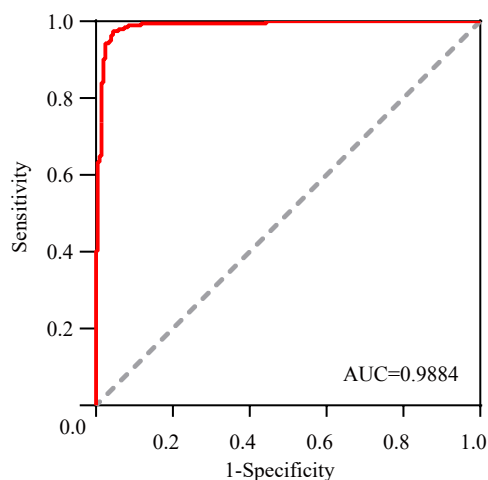


FIGURE 2. The ROC curve for SARS-CoV-2 IgG antibody detection in OF samples.

Note: The true positive rate (sensitivity) of SARS-CoV-2 IgG antibodies in OF is plotted in function of the false positive (1-specificity) for different cut-off points.

Abbreviation: ROC=receiver operating characteristic; OF=oral fluid; AUC=area under curve; SARS-CoV-2=severe acute respiratory syndrome coronavirus 2.

TABLE 1. Comparison of SARS-CoV-2 IgG antibody levels between the OF and serum samples in the vaccine group.

Detection of SARS-CoV-2 IgG	SARS-CoV-2 IgG in serum			Total
		Positive	Negative	
SARS-CoV-2 IgG in OF	Positive	153	0	153
	Negative	8	1	9
	Total	161	1	162

Abbreviation: SARS-CoV-2=severe acute respiratory syndrome coronavirus 2; OF=oral fluid.

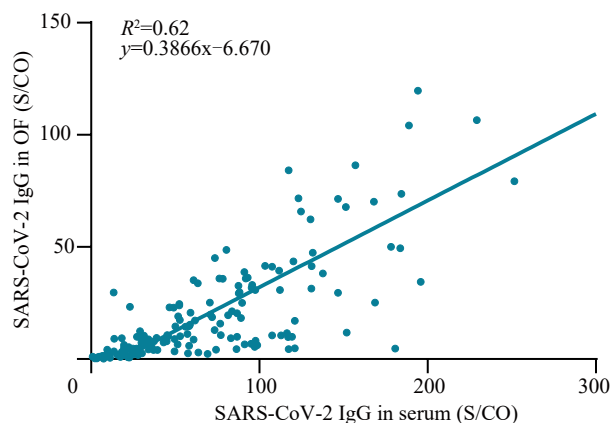


FIGURE 3. The correlation analysis of SARS-CoV-2 IgG between OF and serum samples.

Note: Scatter plots of S/CO value of SARS-CoV-2 IgG concentration in OF (y-axis) and S/CO value of SARS-CoV-2 IgG concentration in serum (x-axis).

Abbreviation: SARS-CoV-2=severe acute respiratory syndrome coronavirus 2; S/CO=chemiluminescence value of sample/cutoff value; OF=oral fluid.

which was higher than that of the above mentioned, commercial, non-invasive assays for SARS-CoV-2 specific antibody detection.

The OF comprises saliva and gingival crevicular fluid, which is rich in human IgG antibodies, but only represents a 1/1,000 dilution of that of the serum (11). Therefore, the quality of OF collection is critical for detecting SARS-CoV-2 IgG antibodies using the in-house OF assay. In this study, each participant was instructed by healthcare workers to brush the gumline at least for 90 seconds to stimulate the transudation of fluid. Further, the total HIgG content of each OF sample was measured to monitor the process of OF sampling. The threshold of HIgG for qualified OF samples was set as 0.3 µg/mL. Previous studies reported that the HIgG concentration in OF from children was lower than that in adults (9). However, our results indicate that OF samples from children have higher HIgG levels than those from adults. Further studies need to be conducted to clarify this phenomenon. In addition, vaccinated adults were found to have higher concentrations of total HIgG in OF than those in unvaccinated adults, possibly because of their active immune status at the time of OF sample collection.

However, this study has several limitations. First, as the quality of self-collected OF is highly dependent on proper operation and ease of using the collection device, the difference in total HIgG contents between samples from children and adults might be attributed to sampling bias; at the same time, all OF samples were collected only from adults over 18 years old and children under 14 years old, which also may lead to sampling bias. Second, the paired OF and serum samples in this study were only collected from the adult population and were not representative of the SARS-CoV-2 antibody in the OF from children and elderly individuals. Finally, the SARS-CoV-2 IgG antibody levels in OF from patients with COVID-19 have not been assessed in this study.

In summary, our results demonstrate the high potential of OF as a replacement for serum for serological surveillance and immunization effect assessment in the context of large-scale immunization programs for the inactivated COVID-19 vaccine in China. However, further studies are needed to improve the performance of the in-house OF assay, including enhancement of thermal stability, quantification, and standardization of the SARS-CoV-2 IgG titers in OF samples.

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Review

Mathematical Models Supporting Control of COVID-19

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ABSTRACT

Mathematical models have played an important role in the management of the coronavirus disease 2019 (COVID-19) pandemic. The aim of this review is to describe the use of COVID-19 mathematical models, their classification, and the advantages and disadvantages of different types of models. We conducted subject heading searches of PubMed and China National Knowledge Infrastructure with the terms “COVID-19,” “Mathematical Statistical Model,” “Model,” “Modeling,” “Agent-based Model,” and “Ordinary Differential Equation Model” and classified and analyzed the scientific literature retrieved in the search. We categorized the models as data-driven or mechanism-driven. Data-driven models are mainly used for predicting epidemics, and have the advantage of rapid assessment of disease instances. However, their ability to determine transmission mechanisms is limited. Mechanism-driven models include ordinary differential equation (ODE) and agent-based models. ODE models are used to estimate transmissibility and evaluate impact of interventions. Although ODE models are good at determining pathogen transmission characteristics, they are less suitable for simulation of early epidemic stages and rely heavily on availability of first-hand field data. Agent-based models consider influences of individual differences, but they require large amounts of data and can take a long time to develop fully. Many COVID-19 mathematical modeling studies have been conducted, and these have been used for predicting trends, evaluating interventions, and calculating pathogen transmissibility. Successful infectious disease modeling requires comprehensive considerations of data, applications, and purposes.

INTRODUCTION

Coronavirus disease 2019 (COVID-19) has had a significant impact on public health and economies worldwide (1–2). Understanding early epidemic

trends, providing theoretical support for prevention and control, and evaluating the effect of interventions have been essential foci of COVID-19 research (3–5). Mathematical models play an important role in the study of transmission mechanisms of infectious diseases; dynamic analyses and model-based predictions help guide selection of interventions (6–9). However, classification and applications of existing COVID-19 models are not fully described. For example, some researchers use time-series models to predict epidemic trends, while other researchers use stochastic models for the same purpose (10–12). This situation causes ambiguity in the applicability of models. Classification and applications should be better delineated and standardized, and conditions for appropriate use of specific model types need to be clarified.

For this review we conducted subject heading searches on PubMed and China National Knowledge Infrastructure with search terms “COVID-19,” “Mathematical Statistical Model,” “Model,” “Modeling,” “Agent-based Model,” and “Ordinary Differential Equation Model.” The searches returned 36,021 papers related to mathematical models in the control of COVID-19; 89 met criteria and were included in this review. We reclassified the models described in each paper, summarized their applications to COVID-19, and analyzed advantages and disadvantages of the models to provide a new classification scheme and a selection basis for future modeling research to further promote use of models in COVID-19 prevention and control.

STATUS OF COVID-19 MODELS

We classified mathematical models as either data-driven or mechanism-driven. Data-driven models include time series models, generalized additive models, and artificial neural network (ANN) models, among others. Mechanism-driven models include ordinary differential equation (ODE) and agent-based models. Figure 1 shows our model classification

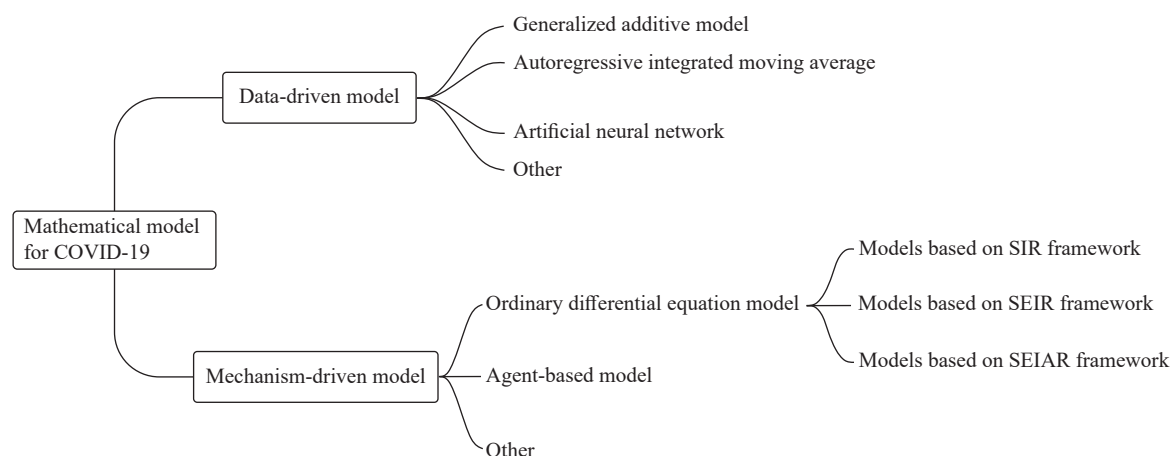


FIGURE 1. Classification of mathematical models in COVID-19.

Abbreviation: COVID-19=coronavirus disease 2019; SIR=susceptible-infectious-removed; SEIR=susceptible-exposed-infectious-removed; SEIAR=susceptible-exposed-infectious-asymptomatic-removed.

scheme. In this review, we focus on data-driven, ODE, and agent-based models.

Data-driven Models

“Data-driven model” is a general term for a collection of models that explore the relationship between instances of diseases and time. These models focus on the relationship between number of infections and time and other factors to predict disease trends. Applications in COVID-19 include growth curve models, generalized logistic growth models (GLM), and other real-time prediction models (13–14). These models can be used to describe processes of disease development, evaluate uncertainty of disease incidence, and improve the accuracy of trend predictions (7). Accuracy can be assessed with indicators such as the Akaike information criterion (AIC), Bayesian information criterion (BIC), and r-squared (R^2).

Autoregressive integrated moving average (ARIMA) models are autoregressive sliding average models based on time-series data. They have been widely used for COVID-19 predictions (15–18). The basic idea of an ARIMA model is to systematically smooth time-series sampled data to estimate and infer the state of a phenomenon at some future time by revealing the underlying pattern between a target variable and time (19). ARIMA models are adaptable and accurate at predicting trends (20). We found that for COVID-19 research, ARIMA models focused on comparison of predicted time-series data in different regions.

Artificial neural network models have played an important role in COVID-19 prevention and control research (19). ANN models capture uncertainty in

time-series data and are a powerful technique for handling nonlinear data, thus complementing traditional linear models and yielding results superior to some other models (21–22). As a stochastic nonlinear recurrent neural network model, the Boltzmann machine (BM) model is a good fit for analyzing cumulative COVID-19 infection data (23–25).

Data-driven models have also been used in other scenarios, including prediction and assessment of cumulative deaths prevented in the pandemic (26–27), optimizing allocation of healthcare resources, and prediction of clinical risk during treatment of COVID-19 (28–30).

Ordinary Differential Equation Models

ODE models are also known as compartment models (31). They reflect the dynamics of infectious diseases based on occurrence and routes of transmission. ODE models reveal epidemiological routes, predict development trends, analyze key factors of disease epidemics, and seek optimal strategies for prevention and control. The most common ODE model is a system of differential equations based on susceptible-infectious-removed (SIR) and susceptible-exposed-infectious-removed (SEIR) compartments (32). ODE models can be developed by combining population dynamics, vaccination dynamics, isolation, and population exposures (33–34).

The basic reproduction number (R_0) is considered a key variable in ODE models that is related to the spread of infectious diseases (35–36). SIR models have been widely used worldwide, and many studies have

calculated R_0 to help predict COVID-19 trends (37–40). SIR models are commonly used to evaluate interventions and calculate transmissibility (41–42). The SEIR model has been used to predict and analyze outbreaks (43–44). It has also been used to analyze transmission mechanisms in different epidemic scenarios and to predict development of an epidemic (45–46). Compared with SIR and SEIR models, the susceptible-exposed-infectious-asymptomatic-removed (SEIAR) model is more applicable for COVID-19 because of its asymptomatic compartment. This model is more suitable for early outbreak predictions (47–48). Developing models for different age groups, genders, and occupations helps scholars understand important differences in the spread of COVID-19 under several scenarios (49).

Developing effective infectious disease prevention strategies requires consideration of disease characteristics, intervention mechanisms, and resource availability. COVID-19 prevention and control measures include non-pharmaceutical interventions (NPIs) and pharmaceutical interventions (PIs) (50–54). NPIs are many, and include isolation, social distancing, wearing masks, and enclosing places of activity (55). In our review, we found that nearly 80% of ODE-based research assessed the effects of isolation, doing so by introducing an isolated population into the base-case model and comparing differences in transmission between isolated and non-isolated populations (11,35–56). Evaluating the effects of other NPIs is achieved by decomposing transmission rate into the probability of transmission of a single contact and the degree of exposure (57). For example, wearing a mask reduces the probability of single-contact transmission, whereas increasing social distance reduces the degree of exposure.

An ODE model that incorporated big data on mobile populations was used to simulate changes in epidemic trends in closed and open cities and provide recommendations for policymakers (58–60). The most prominent application of ODE models of pharmaceutical interventions is to predict a vaccination campaign's duration, coverage, and impact that will be sufficient to reduce need for NPIs and facilitate recovery of a damaged economy and nation (61). Pandemics tend to decrease only gradually after vaccination (61–62). Key indicators for vaccination campaigns are vaccine effectiveness and coverage attained, with an inverse relationship between the two indicators, so that higher coverage can partially compensate for lower effectiveness (61). Selection of

target populations for a vaccination campaign is important. For example, prioritizing vaccination of older age groups is more beneficial for reducing morbidity and mortality (63–64).

Agent-based Models

Data-driven and ODE models use population characteristics and do not consider heterogeneity of individuals. Agent-based modeling uses a spatial database of the geographical environment of the study area (65) and is usually applied in evaluations of prevention and control measures (10,56–66). These models can refine studies of interventions, demonstrating, for example, that a combination of testing and close follow-up is more effective than mass testing or self-isolation alone (67). Agent-based models makes it possible to evaluate the impact of individual healthcare workers' hand hygiene practices on COVID-19 transmission (68). To predict trends in individuals' risk of infection and propose prevention and control strategies, it is necessary to combine individual profiles and demographic data in the model and identify changes in COVID-19 transmission routes in different scenarios (69–74). However, data limitations make it difficult to generalize agent-based model results for prediction of COVID-19 trajectory or evaluation of interventions in a city or country.

ADVANTAGES AND DISADVANTAGES OF MODELS

Data-driven Models

Data-driven models have unique advantages in modeling prevention and control of infectious diseases. A wide selection of data-driven models is available, with many featuring ease of use and fast performance for rapid diagnosis in early stages of an outbreak. These models are driven by historical data and statistics and are less affected by parameter changes. However, most data-driven models do not consider natural transmission characteristics and clinical features of the disease. In addition, some key disease parameters lack rigorous interpretation in terms of transmission mechanism or disease etiology, and the models require high-quality historical data, which can challenge assessing effectiveness of some interventions.

Ordinary Differential Equation Models

Compared to agent-based models, ODE models are more flexible, provide more reliable predictions, and

are less computationally demanding. After setting parameters and initial values, ODE models run quickly and are adaptable to the needs of an early and rapid response to a new infectious disease. Additions and deletions can be made according to actual situations, for example, considering different disease states, time-lags, age structures, and birth and death rates. Such adaptations can make the model fit better with reality. The effects of interventions can also be assessed visually by graphing model compartments while changing parameter values. ODE models have some drawbacks. They ignore individual-level heterogeneity and randomness in the disease characteristics; they are not suitable for simulations of epidemics or early cases when some of the parameters are subjective and empirical; and the model results may be realistic and reliable only for the reporting region and may not be generalizable due to regional variability.

Agent-based Models

By using population characteristics and case data and applying mathematical simulation technology to simulate transmission, agent-based models complement epidemiological evaluation of epidemic characteristics and prevention and control measures (65). A feature of agent-based models is that the results can reflect spatial and temporal characteristics of a disease in the region, as data-driven and ODE models do. A key advantage is that agent-based models are more useful for analyzing infectious diseases transmission mechanisms and estimating infection rates. Shortcomings include that agent-based individual random models require a large amount of well-measured data and require researchers to have geographic environment modeling experience and a strong foundation in programming.

DISCUSSION

For the COVID-19 pandemic, each type of model has strengths, weaknesses, and a spectrum of applicability to different scientific questions, and each requires varying degrees of background knowledge from the researchers. Infectious disease data-driven models provided information for action by policymakers, clinicians, and public health practitioners during times of great uncertainty about the COVID-19 pandemic. Although most COVID-19 modeling studies used ODE models, as ODE technology advances, future research will involve more

cross-pollination between different disciplines. Agent-based models were not widely utilized in COVID-19 prevention and control efforts. Research with more complex and systematic methods has only just begun, and there is still a great deal of in-depth research work to be done in this area.

When dealing with an outbreak of an emerging infectious disease, model selection is based on several points. 1) Stage of the transmission. During the COVID-19 pandemic, different types of models were used at different stages. In the early stages of an epidemic, there is less knowledge about the disease, and therefore only basic SIR or SEIAR models can be built. As the disease is studied and research reveals important insights, it is possible to build more complex models that can perform new and different functions. 2) Data availability. Data are fundamental for building models, and data completeness constrains model selection. When there are insufficient real-world data, researchers can only use basic data-driven models to predict the trajectory of an epidemic. As the amount of data increases and data sources diversify, the type, veracity, and complexity of built models can increase. 3) Applications of the model. When choosing a model, its purpose must be clear. For example, to evaluate the impact of prevention and control measures, one must consider the natural history of the disease, characteristics and settings of the intervention, and other factors. To study the influence of individual factors, an agent-based model should be considered. 4) Generalizability of the model. Most modelling studies are set in small areas, and a model appropriate for one setting may not be applicable elsewhere. Therefore, when selecting model parameters, it is important to consider variability of study sites and parameter values in a comprehensive manner.

Our review has shown that many studies have been conducted with COVID-19 mathematical models, and that mathematical models are highly suitable for analyses of the pandemic. Although many types and applications of COVID-19 models exist, we found that the models can be divided into mechanism-driven models and data-driven models, and that model applications are mainly focused on predicting trends, evaluating the effects of interventions, and estimating severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) transmissibility. Models are playing a critically important role in COVID-19 prevention and control. In the future, mathematical models will be used to provide scientific support for evidence-based, innovative, and precise responses to many infectious

disease outbreaks.

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Notes from the Field

First Imported Case of SARS-CoV-2 Omicron Subvariant BA.4 — Guangdong Province, China, May 4, 2022

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On April 29, 2022, a flight arrived at Baiyun International Airport, Guangzhou City, Guangdong Province, after departing from Amsterdam Schiphol Airport, the Netherlands. After the first test of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) nucleic acid at the Baiyun International Airport, all passengers were admitted to a quarantine hotel for a routine 14-day medical observation. On April 30, one of the passengers (a 20-year-old Chinese female) was reported positive for coronavirus disease 2019 (COVID-19), and then a nasopharyngeal swab sample was immediately retested on May 1 and reported positive. The patient was fully vaccinated against COVID-19 and completed 14 days of quarantine before flying to China. She denied any history of exposure to COVID-19 cases. After diagnosis, the patient was sent to Foshan Fourth People's Hospital for treatment.

On May 4, 2022, the viral genomic sequence of the patient was obtained using the Illumina MiniSeq platform (Illumina, San Diego, CA, USA), and genotyping results showed that the genome belonged to the Omicron/variant of concern (VOC) sublineage BA.4, with a total of 30 amino acid mutations (V3G, T19I, A27S, G142D, V213G, G339D, S371F, S373P, S375F, T376A, D405N, R408S, K417N, N440K, L452R, S477N, T478K, E484A, F486V, Q498R, N501Y, Y505H, D614G, H655Y, N679K, P681H, N764K, D796Y, Q954H, N969K) and 5 deletions (L24del, P25del, P26del, H69del, V70del) in the spike protein gene. The phylogenetic tree indicates that the sequenced BA.4 has high similarity to the genome detected in Denmark on May 4, 2022 (GISAID: EPI_ISL_12648960) (Figure 1). The sequence has been deposited in the National Genomics Data Center (under the accession number WGS025540).

On May 4, 2022, the World Health Organization reminded to closely monitor Omicron BA.4

subvariants (1). BA.4 is driving the upsurge in South Africa and has rapidly replaced BA.2, with over 50% of sequenced cases since the first week of April 2022 (2). Compared to BA.2, the BA.4 subvariants also showed stronger immune escape to the plasma of 3-dose vaccinees, even vaccinated BA.1 convalescent plasma (3–4). Cases of BA.4 infection have been reported in at least 20 countries, mainly from South Africa (62.39%) (5–6). Researchers are focusing on this subvariant and trying to learn more.

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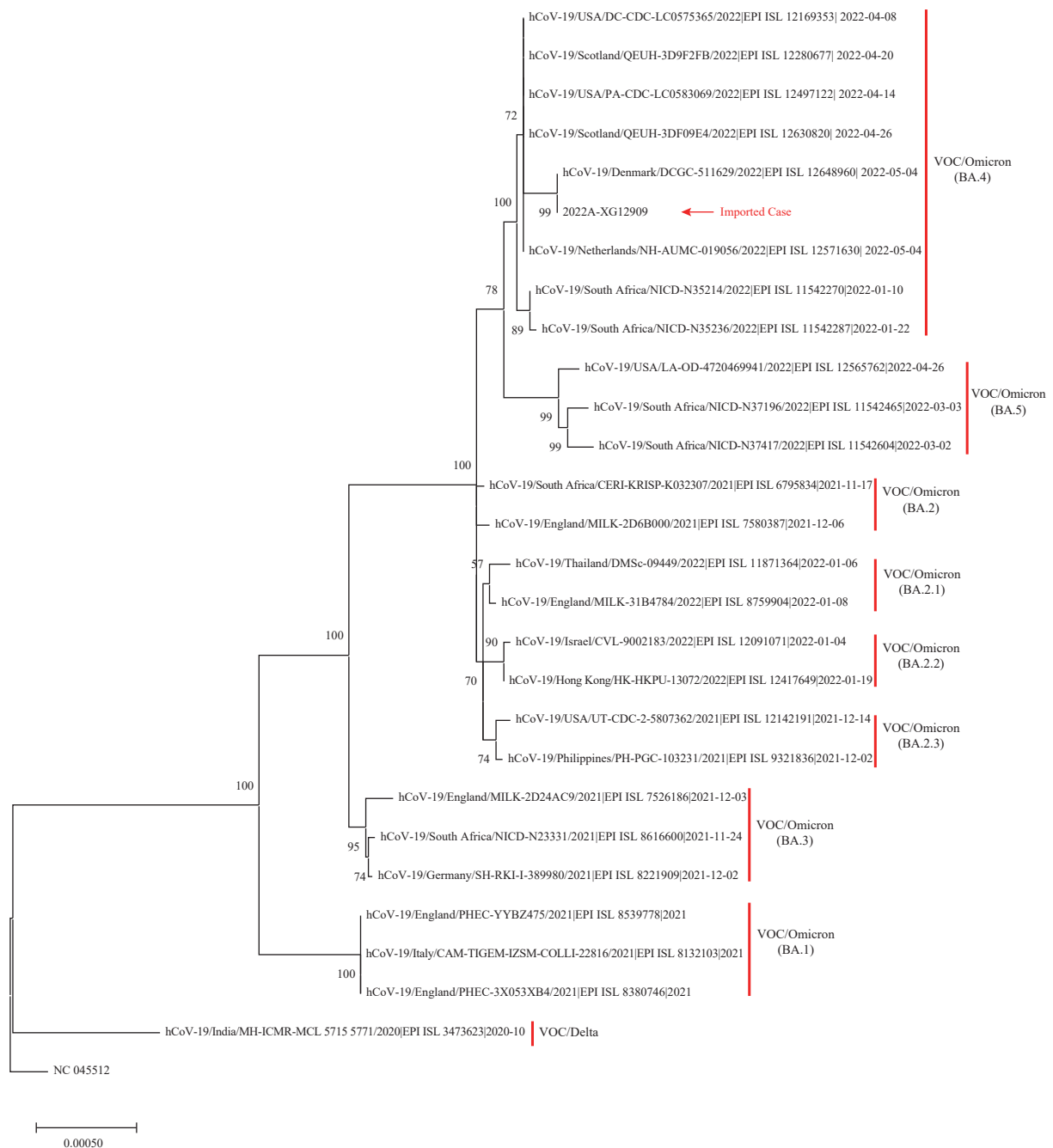


FIGURE 1. Phylogenetic tree based on the full-length genome sequences of the COVID-19 Omicron sublineage.

Note: The Guangdong Province imported VOC/Omicron (BA.4) variant is indicated with a red arrow. The other Omicron sublineages are indicated on the right side and marked with vertical bars.

Abbreviation: COVID-19=coronavirus disease 2019; VOC=variant of concern.

Notes from the Field

Emergence of a SARS-CoV-2 Omicron Subvariant BA.2.2 with a 454-Nucleotide Genomic Deletion — Sichuan Province, China, May 10, 2022

Yuliang Feng^{1,✉}; Xiang Zhao^{2,✉}; Tao Luo^{3,✉}; Zhixiao Chen²; Huiping Yang¹; Na Chen¹; Xiaozhen Ma¹; Mingyuan Li³; Weihua Zhang⁴; Sikai Jia³; Xun Yuan⁵; Ming Pan^{1,✉}; Linlin Zhou^{3,✉}

On May 9, 2022, 3 local residents in Guang'an City, Sichuan Province, tested positive for severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) and were diagnosed with asymptomatic coronavirus disease 2019 (COVID-19) in a local outbreak, and as of May 14, a total of 20 confirmed cases and 398 asymptomatic infections were reported.

Whole genome sequencing was performed directly on the nasal and throat swab specimens from the initial three asymptomatic infected individuals using the Illumina MiSeq platform (Illumina, San Diego, CA, USA). The complete genome sequences of 3 SARS-CoV-2 strains, named SC1215, SC1216, and SC1217, were obtained. The sequence of SC1217 has been deposited in the GISAID database (under the accession number EPI_ISL_12725020). Phylogenetic analysis (maximum likelihood method) revealed that the 3 local strains clustered together to form a monophyletic clade belonging to the Omicron BA.2.2 sublineage (Figure 1) (1–2). The BA.2.2 sublineage was derived from the Omicron BA.2 lineage (3) and may have originated in Hong Kong Special Administrative Region (SAR) with predominant transmission to the mainland of China, Japan, Australia, and the United Kingdom (2).

Genomic analysis revealed a 454 nucleotide (nt) deletion in the SARS-CoV-2 genome at nucleotide positions 27,689–28,142, and this result was further validated by Sanger sequencing (Figure 2). Sequencing of 41 clinical specimens from the local outbreak in Guang'an by May 14 had also detected the 454-nt deletion. Further analysis revealed that the 454-nt deletion resulted in a 71-nt deletion at the 3' end of ORF7a gene, a complete deletion of ORF7b gene (132-nt) and the intergenic region of ORF7b/8 (6-nt) gene, and a 249-nt deletion at the 5' end of ORF8 gene.

As of May 12, 2022, 2,787 sequences in the GISAID database were Omicron BA.2.2 sublineage, but no such long 454-nt deletion was found. ORF7a,

ORF7b, and ORF8, which encode accessory proteins of SARS-CoV-2, are important for the interaction of SARS-CoV-2 with the host. Previous studies have shown that ORF7a protein binds to human monocytes, decreases antigen presentation ability, and induces dramatic expression of pro-inflammatory cytokines (4–5). Deletion of ORF8 may lead to a milder infection and a more efficient immune response to SARS-CoV-2 (6). Further experiments are needed on whether and how this 454-nt deletion affects the infectivity and pathogenicity of the virus, and epidemiological and genomic surveillance is needed to monitor its potential further transmission.

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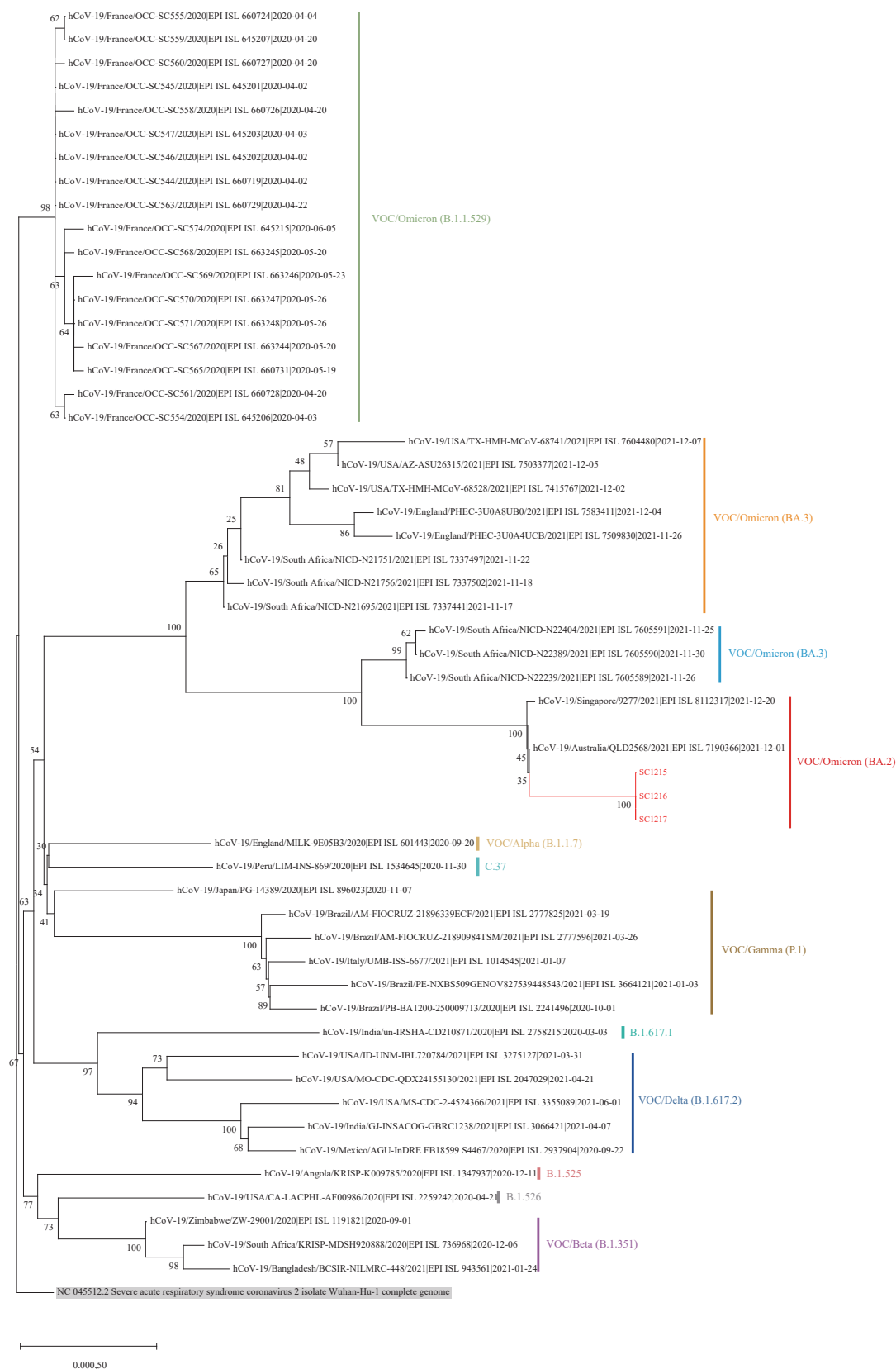


FIGURE 1. The maximum likelihood phylogeny based on the full-length genome sequences of SARS-CoV-2. Note: The Sichuan 454-nt deletion variants are highlighted in red. The Wuhan reference strain is shaded in gray. Abbreviation: VOC=variant of concern; SARS-CoV-2=severe acute respiratory syndrome coronavirus 2.



FIGURE 2. Genomic organization of human SARS-CoV-2 and the location of the 454-nt deletion ($\Delta 454$). (A) Schematic diagram of the genomes of SARS-CoV-2 isolates Wuhan-Hu-1 (Genbank: NC_045512, GISAID: EPI_ISL_402125). (B) Magnification of genomic region (red box in panel A) showing the 454-nt deletion spanning ORF7a, ORF7b, and ORF8 (indicated by the red line). (C) Sanger sequencing results flanking the 454-nt deletion of the SARS-CoV-2 $\Delta 454$ variant. Abbreviation: SARS-CoV-2=severe acute respiratory syndrome coronavirus 2.

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